

# Introduction to Mathematical Quantum Theory

## Solution to the Exercises

– 28.04.2020 –

Teacher: Prof. Chiara Saffirio

Assistent: Dr. Daniele Dimonte – daniele.dimonte@unibas.ch

### Exercise 1

**a** Let  $\mathcal{H}$  be an Hilbert space. Suppose  $A, B \in \mathcal{B}(\mathcal{H})$  with  $[A, B] = 0$  and  $A$  not invertible. Prove that  $AB$  is not invertible.

*Hint: Prove first that if  $AB$  were invertible then  $A$  would have both a left and a right inverse. Then prove that those would need to be equal and conclude.*

**b** Prove that if we do not assume  $A$  and  $B$  to commute, the result in **a** is false.

*Proof.* To prove **a**, suppose first that  $AB$  is invertible; this means that there is an operator  $C$  such that  $ABC = \text{id} = CAB$ . Given that  $[A, B] = 0$ , we can also write  $A(BC) = \text{id} = (CB)A$ . Now, to prove that  $BC = CB$ , given that  $A$  and  $B$  commute, we can write  $BC = (CAB)BC = CB(ABC) = CB$ . Therefore this implies that if  $AB$  is invertible then  $A$  is invertible, proving the result.

To prove **a** is enough to consider a counter example; consider  $A$  and  $A^*$  as in Exercise 3 in the Exercise Sheet of the 14.02.2014. We have that  $[A, A^*] \neq 0$ , both  $A$  and  $A^*$  are bounded and not invertible, but  $AA^* = \text{id}$ , which is invertible.

□

### Exercise 2

Let  $\mathcal{H}$  be an Hilbert space. Let  $A$  be an unbounded linear operator on  $\mathcal{H}$ . Suppose there exists a closed operator  $C$  that extends the operator  $A$ . Prove that  $A$  is closable.

*Proof.* Recall that  $G(T) := \{(\psi, T\psi) \in \mathcal{H} \times \mathcal{H} \mid \psi \in \mathcal{D}(T)\}$  is the graph of an operator  $T$ . Consider  $\overline{G(A)}$ ; we want to prove that it corresponds to a well defined (closed) linear operator. Define the following operator:

$$\mathcal{D}(B) := \{\psi \in \mathcal{H} \mid \exists \varphi \in \mathcal{H} \text{ s.t. } (\psi, \varphi) \in \overline{G(A)}\}$$
$$B := C|_{\mathcal{D}(B)}.$$

Given that  $\mathcal{D}(A) \subseteq \mathcal{D}(B)$  is dense, we get that  $B$  is densely defined. Moreover, from the linearity of  $C$  we also get that  $B$  is linear.

From the fact that  $C$  is an extension of  $A$  we get that for any  $\psi \in \mathcal{D}(A)$ ,  $B\psi = C\psi = A\psi$ , so  $B$  is an extension of  $A$ . As a consequence,  $G(A) \subseteq G(B)$ .

On the other hand, given that  $C$  is a closed extension of  $A$  we get that  $\overline{G(A)} \subseteq \overline{G(C)} = G(C)$ , so if  $(\psi, \varphi) \in \overline{G(A)}$  this implies  $\varphi = C\psi$ . On the other hand, if  $(\psi, \varphi) \in \overline{G(A)}$  then  $\psi \in \mathcal{D}(B)$  and therefore  $B\psi = C\psi = \varphi$  and  $(\psi, \varphi) \in G(B)$ . Therefore we have  $\overline{G(A)} \subseteq G(B)$ .

Suppose now that  $(\psi, B\psi) \in G(B)$ . Then given that  $\psi \in \mathcal{D}(B)$  there exists an element  $\varphi \in \mathcal{H}$  such that  $(\psi, \varphi) \in \overline{G(A)}$ ; but  $\overline{G(A)} \subseteq G(C)$  implies  $\varphi = C\psi = B\psi$ , and therefore  $G(B) \subseteq \overline{G(A)}$ , which together with the inclusion above shows that  $G(B) = \overline{G(A)}$  and implies that  $A$  is closable. □

### Exercise 3

Let  $\mathcal{H}$  be an Hilbert space. Let  $A$  be self-adjoint.

**a** Suppose  $\lambda_0 \in \rho(A)$ , where  $\rho(A)$  is the resolvent set of  $A$ . Prove that

$$\|(A - \lambda_0 \text{id})^{-1}\| = \frac{1}{d(\lambda_0, \sigma(A))}, \quad (1)$$

where  $d(x, Y) := \inf_{y \in Y} |x - y|$ , with  $x \in \mathbb{C}$ ,  $Y \subseteq \mathbb{C}$ .

*Hint: Think of  $(A - \lambda_0 \text{id})^{-1}$  as a function of  $A$  in the sense of the functional calculus of  $A$ .*

**b** Let  $\lambda_0 \in \mathbb{C}$  and suppose that there exists  $\varepsilon > 0$  and some nonzero  $\psi \in \mathcal{H}$  such that

$$\|A\psi - \lambda_0\psi\| < \varepsilon \|\psi\|. \quad (2)$$

Prove that there exists  $\lambda \in \sigma(A)$  such that  $|\lambda - \lambda_0| < \varepsilon$ .

*Proof.* Recall that there exists a projection-valued measure  $\mu^A$  such that

$$A = \int_{\sigma(A)} \lambda d\mu^A(\lambda),$$

$$f(A) = \int_{\sigma(A)} f(\lambda) d\mu^A(\lambda).$$

Let  $\lambda_0 \in \rho(A)$ ; given that the spectrum of  $A$  is closed, we have  $d(\lambda_0, \sigma(A)) > 0$ . The function  $f(\lambda) := (\lambda - \lambda_0)^{-1}$  is then continuous and bounded on  $\sigma(A)$ , with  $\sup_{\lambda \in \sigma(A)} |f(\lambda)| = d(\lambda_0, \sigma(A))^{-1}$ . Now, we know that if  $g(\lambda) = \lambda - \lambda_0$ , on the one hand  $g(A) = A - \lambda_0 \text{id}$  and on the other hand  $g(\lambda)f(\lambda) = f(\lambda)g(\lambda) = 1$ . As a consequence we get that  $f(A) = (A - \lambda_0 \text{id})^{-1}$ . To get (1) then we use the functional calculus to get

$$\|(A - \lambda_0 \text{id})^{-1}\| = \left\| \int_{\sigma(A)} f(\lambda) d\mu^A(\lambda) \right\| = \sup_{\lambda \in \sigma(A)} |f(\lambda)| = \frac{1}{d(\lambda_0, \sigma(A))}.$$

To prove **b**, assume (2); if  $\lambda_0 \in \sigma(A)$ , we can take  $\lambda = \lambda_0$ . Assume now that  $\lambda_0 \in \rho(A)$ . We have that

$$\|(A - \lambda_0 \text{id})^{-1}\| \geq \frac{\|(A - \lambda_0 \text{id})^{-1} (A - \lambda_0 \text{id}) \psi\|}{\|(A - \lambda_0 \text{id}) \psi\|} = \frac{\|\psi\|}{\|(A - \lambda_0 \text{id}) \psi\|} > \frac{1}{\varepsilon}.$$

Using then (1) we get

$$\frac{1}{\varepsilon} < \|(A - \lambda_0 \text{id})^{-1}\| = \frac{1}{d(\lambda_0, \sigma(A))},$$

which concludes the proof. □

#### Exercise 4

Let  $\mathcal{H} = L^2(I)$ , with  $I = [0, 1]$ . Consider the operator  $A$  with domain  $D(A) = C(I)$  and with action

$$A\psi(x) = \psi(0), \quad \forall \psi \in D(A). \quad (3)$$

Prove that  $A$  is not closable.

*Proof.* Consider the graph of  $A$  given as  $G(A) = \{(\psi, \psi(0)) \mid \psi \in C(I)\}$ ; considering  $\psi = 0$ , we get that  $(0, 0) \in G(A)$ .

Moreover, let  $\psi_n$  be a sequence of continuous functions with  $\psi_n(I) \in [0, 1]$ ,  $\psi_n(x) = 0$  for any  $x \in (1/n, 1]$  and  $\psi_n(x) = 1$  for any  $x \in [0, 1/(2n))$ .

Then given that  $\|\psi_n\| \leq 1/n$ , we get  $\psi_n \rightarrow 0$  in  $\mathcal{H}$  as  $n \rightarrow +\infty$ ; on the other hand, we have that  $A\psi_n(x) = 1$  for any  $x$  and for any  $n$ , so  $A\psi_n \rightarrow 1$  in  $\mathcal{H}$  as  $n \rightarrow +\infty$ . As a consequence,  $(0, 1) \in \overline{G(A)}$ , which implies that  $A$  is not closable. □